**Total Pages** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE UTILITY PATENT APPLICATION TRANSMITTAL

Molly Chleback ·

Printed Name

FIRST NAMED INVENTOR, OR APPLICATION IDENTIFIER: Michael R.S. Hill et al. TITLE: METHOD AND APPARATUS FOR ELECTRICALLY STIMULATING THE NERVOUS SYSTEM TO IMPROVE VENTRICULAR DYSFUNCTION, HEART FAILURE, AND OTHER CARDIAC CONDITIONS



CERTIFICATE UNDER 37 CFR §1 10. Thereby certify that this Utility Patent Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service, in an envelope 

Commissioner for Patents **BOX PATENT APPLICATION** Washington, D.C. 20231

5.5 mg\*

|   | Sir:   | We are transmitting herewith the attached:   |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|
| X                                       | Patent   | Application Transmittal  |  |  |  |  |  |  |
|   | Specification:  Total pages: _21 (including claims and abstract: Spec14 sheets; Claims _6 sheets; Abstract _1  Drawings: |  |  |  |  |  |  |  |
|   |  | Total sheets: 7  |  |  |  |  |  |  |
|   |  | newly executed copy from prior application Deletion of Inventor(s) - Signed statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d)(2) and 1.33(b) Incorporation by Reference - The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied above is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |  |  |  |  |  |  |
|   | Accom  | mpanying application parts:  Notification of filing a  Assignment of the Invention to Medtronic, Inc.  Assignment cover sheet Information Disclosure Statement PTO Form 1449  Copies of IDS citations Preliminary Amendment A copy of the Petition or Conditional Petition for Extension of Time in the prior application. Return Postcard   |  |  |  |  |  |  |
| IF A CC                                 | NTINUIN  | IG APPLICATION:  |  |  |  |  |  |  |
|   |  | Continuation Divisional Continuation-in-part (CIP) of prior application No   |  |  |  |  |  |  |
|   |  | Amend the specification by inserting before the first line the sentence: This application is a   continuation of application number, filed   |  |  |  |  |  |  |
|   |  | Cancel in this application original claimsof the prior application before calculating the filing fee. (At least the original independent claim must be retained for filing purposes.)  |  |  |  |  |  |  |
|   |  | The prior application is assigned of record to Medtronic, Inc.   |  |  |  |  |  |  |
| ======================================= |  | The Power of Attorney in the prior application is to:  |  |  |  |  |  |  |

- U.S. Provisional Application(s) Serial Nos. 60/243,393, filed October 26, 2000;
- U.S. Provisional Patent Application Serial No. 60/294,072, filed May 29, 2001;
- U.S. Provisional Patent Application Serial No. 60/243,536, filed October 26, 2000; and
- U.S. Provisional Patent Application Serial No. 60/243,609, filed October 26, 2000.

X Address all future correspondence to:

Beth L. McMahon, Reg. No. 41,987

Medtronic, Inc., MS 301 7000 Central Avenue NE Minneapolis, Minnesota 55432 Telephone: (763) 514-3066

| FEE<br>CALCULATION           | No. of Claims<br>Filed | Claims Includ<br>Base Fee | ed in | No. of<br>Extra<br>Claims | Rate  | Fee        |
|------------------------------|------------------------|---------------------------|-------|---------------------------|-------|------------|
| Total Claims                 | 40                     | 20                        | =     | 20                        | x 18  | \$360.00   |
| Independent Claims           | 8                      | 3                         | =     | 5                         | x 84  | \$420.00   |
| Multiple Dependent<br>Claims |                        |                           |       |                           | + 270 |            |
| Basic Filing Fee             |                        |                           |       | · · · · · ·               |       | \$740.00   |
| <u> </u>                     | <u> </u>               |                           |       |                           | TOTAL | \$1,520.00 |

Charge Deposit Account No. 13-2546 the sum of \$1,520.00 (Filing Fee) and \$40.00 for Assignment recordation fee for a total of \$1,560.00.

The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 13-2546. A duplicate of this transmittal is enclosed.

Multiple Dependent Claims

Basic Filing Fee

Charge Deposit Account No of \$1,560.00.

The Commissioner is hereb overpayment to Deposit Account Account No of \$1,560.00.

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